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OSMM&N File No. 198004US2

Dept.: E/M

By: GJM/sba

Serial No. 09/678,611

In the matter of the Application of: Kohji SAKAI, et al.

For: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND
IMAGE FORMING APPARATUS

Due Date: 03/20/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- PTO-1449
- Cited References (2)
- IDS/Related/List of Related Cases
- Cited Pending Applications (4)



Docket No. 198004US2



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kohji SAKAI, et al.

SERIAL NO: 09/678,611

GAU: 2712

FILED: October 4, 2000

EXAMINER:

FOR: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND IMAGE FORMING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patents, together with a copy of the claims and drawings of the pending applications is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

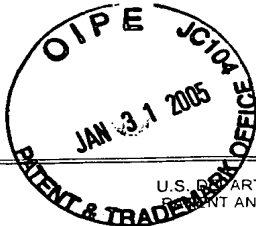
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

Gregory J. Maier

Registration No. 25,599

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SHEET 1 OF 1Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

198004US2

SERIAL NO.

09/678,611

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kohji SAKAI, et al.

FILING DATE

October 4, 2000

GROUP

2712

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,376,837	04/23/02	ITABASHI et al.			
	AB	6,498,617	12/24/02	ISHIDA et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
PER CLIENT	6,376,837	04/23/02	ITABASHI et al.
198004US2*	09/678,611	10/04/00	SAKAI et al.
198472US2	6,498,617	12/24/02	ISHIDA et al.
220675US2	10/095,913	03/13/02	EMA et al.
224826US2	10/183,748	06/28/02	NIHEI et al.
226636US2	10/214,559	08/09/02	ISHIDA et al.
231982US2	10/323,837	12/20/02	SUZUKI et al.

*Present Application; listed for information
GJM/sb

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Dept.: E/M

OSMM&N File No. 198004US-2

By: GJM:psn

Serial No. 09/678,611

In the matter of the Application of: Kohji SAKAI, et al.

For: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND
IMAGE FORMING APPARATUS

Due Date: October 30, 2002

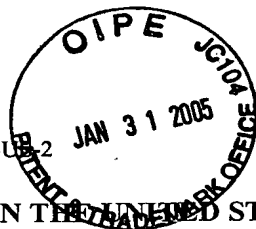
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MAIER & NEUSTADT, P.C.



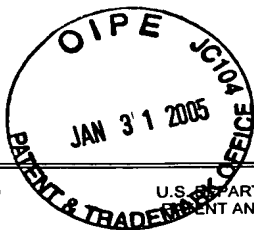
22850

Gregory J. Maier

Registration No. 25,599

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 03/02)

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COPY
SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 198004US-2		SERIAL NO. 09/678,611	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kohji SAKAI, et al.			
				FILING DATE October 4, 2000		GROUP 2712	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,348,989	02/19/02	AOKI ET AL			
	AB	6,348,988	02/19/02	AOKI ET AL			
	AC	6,288,819	09/11/01	AOKI ET AL			
	AD	6,166,842	12/26/00	AOKI ET AL			
	AE	5,999,345	12/07/99	NAKAJIMA ET AL			
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	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



COPY

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	09/969,982	10/03/01	UNKNOWN
PER CLIENT	09/333,209	06/15/99	UNKNOWN
PER CLIENT	6,348,989	02/19/02	AOKI et al.
PER CLIENT	6,348,988	02/19/02	AOKI et al.
PER CLIENT	6,288,819	09/11/01	AOKI et al.
PER CLIENT	6,166,842	12/26/00	AOKI et al.
PER CLIENT	5,999,345	12/07/99	NAKAJIMA et al.
198004US2*	09/678,611	10/04/00	PENDING
218097US2	10/059,371	01/31/02	PENDING
225388US2	10/207,241	07/30/02	PENDING

*Present application; listed for information
GJM/akh

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OSMM&N File No. 198004US2

Dept.: E/M

By: GJM:akh

Serial No. 09/678,611

In the matter of the Application of: Kohji SAKAI et al.

For: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND
IMAGE FORMING APPARATUS

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| <input type="checkbox"/> pp. Specification | <input type="checkbox"/> Claims/Drawings | <input type="checkbox"/> Sheets |
| <input type="checkbox"/> and pages Application Data Sheet | | |
| <input type="checkbox"/> Combined Declaration, Petition & Power of Attorney | <input type="checkbox"/> pages | |
| <input type="checkbox"/> List of Inventor Names and Addresses | | |
| <input type="checkbox"/> Utility Patent Application Transmittal | <input type="checkbox"/> CPA | |
| <input type="checkbox"/> Notice of Priority | <input type="checkbox"/> Priority Doc | |
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| <input type="checkbox"/> Fee Transmittal Form | | |
| <input type="checkbox"/> Assignment/PTO 1595 pages: | | |
| <input type="checkbox"/> Letter to Official Draftsman | | |
| <input type="checkbox"/> Letter Requesting Approval of Drawing Changes | | |
| <input type="checkbox"/> Drawings | <input type="checkbox"/> sheets | <input type="checkbox"/> Formal |
| <input type="checkbox"/> Letter | | |
| <input type="checkbox"/> Amendment | | |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> PTO-1449 | |
| <input checked="" type="checkbox"/> Cited References (1) | | |
| <input type="checkbox"/> Search Report | | |
| <input type="checkbox"/> Statement of Relevancy | <input checked="" type="checkbox"/> Cited Pending Applications (5) | |
| <input checked="" type="checkbox"/> IDS/Related/List of Related Cases | | |
| <input type="checkbox"/> Restriction Response | <input type="checkbox"/> Election Response | |
| <input type="checkbox"/> Rule 132 Declaration | | |
| <input type="checkbox"/> Petition for Extension of Time | | |
| <input type="checkbox"/> Notice of Appeal | | |
| <input type="checkbox"/> Brief | | |
| <input type="checkbox"/> Issue Fee Transmittal | | |
| <input type="checkbox"/> White Advance Serial Number Card | | |
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| <input type="checkbox"/> | | |



Due Date: 09/05/02

Docket No. 198004US



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kohji SAKAI et al.

SERIAL NO: 09/678,611

GAU: 2712

FILED: 10/04/00

EXAMINER:

FOR: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND IMAGE FORMING APPARATUS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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REFERENCES

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

GREGORY J. MAIER

Registration No. 25,599

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 198004US2		SERIAL NO. 09/678,611	
<div style="position: relative; width: 100px; height: 100px; border: 1px solid black; border-radius: 50%; margin: 0 auto;"> <div style="position: absolute; top: 0; left: 0; right: 0; bottom: 0; display: flex; flex-direction: column; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">PATENT & TRADEMARK OFFICE</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">JAN 31 2005</div> </div> </div>				APPLICANT Kohji SAKAI et al.			
				FILING DATE 10/04/00		GROUP 2712	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,384,949	05/07/02	SUZUKI			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
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	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
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	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							



COPY

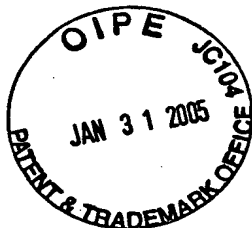
LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	09/874,793	06/06/01	UNKNOWN
PER CLIENT	09/955,181	09/19/01	UNKNOWN
PER CLIENT	6,384,949	05/07/02	SUZUKI
198004US2*	09/678,611	10/04/00	PENDING
217049US2	10/028,698	12/28/01	PENDING
222017US2	10/161,659	06/05/02	PENDING
222690US2	10/161,756	06/05/02	PENDING

*Present application; listed for information.

GJM/akh

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Dept: EM
By: GJM:akh

OSMM&N File No. 198004US2

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| <input type="checkbox"/> pp. Specification | Claims/Drawings | Sheets |
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| <input type="checkbox"/> Letter to Official Draftsman | | |
| <input type="checkbox"/> Letter Requesting Approval of Drawing Changes | | |
| <input type="checkbox"/> Drawings | sheets | <input type="checkbox"/> Formal |
| <input type="checkbox"/> Letter | | |
| <input type="checkbox"/> Amendment | | |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> PTO-1449 | |
| <input checked="" type="checkbox"/> Cited References (13) | | |
| <input type="checkbox"/> Search Report | | |
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| <input type="checkbox"/> | | |
| <input type="checkbox"/> | | |



Due Date: 05/02/02

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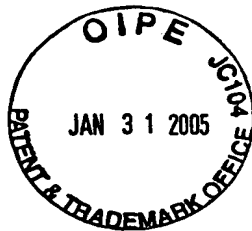
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					GROUP 2712				
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AA	6,347,004	02/12/02	SUZUKI et al.						
AB	6,359,717	03/19/02	SUZUKI et al.						
AC	6,069,724	05/30/00	HAYASHI et al.						
AD	6,081,386	06/27/00	HAYASHI et al.						
AE	6,233,081	05/15/01	SUZUKI et al.						
AF	6,256,133	07/03/01	SUZUKI et al.						
AG	5,546,216	08/13/96	SUZUKI						
AH	5,717,511	02/10/98	SUZUKI						
AI	5,875,051	02/23/99	SUZUKI et al.						
AJ	6,104,522	08/15/00	HAYASHI et al.						
AK	5,986,791	11/16/99	SUZUKI et al.						
AL	6,141,133	10/31/00	SUZUKI et al.						
AM	6,222,662	04/24/01	SUZUKI et al.						
AN									
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO					
AO									
AP									
AQ									
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AS									
AT									
AU									
AV									
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)									
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AX									
AY									
AZ									
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PER CLIENT	6,256,133	07/03/01	SUZUKI et al.
0557-3309-2	5,546,216	08/13/96	SUZUKI
0557-3799-2 DIV	5,717,511	02/10/98	SUZUKI
0557-3969-2	5,875,051	02/23/99	SUZUKI et al.
0557-4185-2	6,104,522	08/15/00	HAYASHI et al.
0557-4493-2 DIV	5,986,791	11/16/99	SUZUKI et al.
0557-4719-2 CONT	6,141,133	10/31/00	SUZUKI et al.
0557-4967-2 DIV	6,222,662	04/24/01	SUZUKI et al.
192630US2	09/588,342	06/06/00	ALLOWED
198004US2*	09/678,611	10/04/00	PENDING
205056US2	09/816,378	03/26/01	PENDING
205447US2	09/827,097	04/06/01	PENDING



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206009US2	09/833,821	04/13/01	PENDING
217784US2	10/047,698	01/18/02	PENDING

*Present application; listed for information.

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By: GJM:akh

OSMM&N File No. 198004US2

Serial No. 09/678,611

In the matter of the Application of: Kohji SAKAI et al.

For: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND IMAGE FORMING APPARATUS

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification Claims/Drawings Sheets
- ☐ and pages Application Data Sheet
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application Transmittal
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☐ Information Disclosure Statement
- ☒ Cited References (10)
- ☐ Search Report
- ☐ Statement of Relevancy
- ☒ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐
- ☐

- ☐ CPA
- ☐ Priority Doc
- ☒ Dep. Acct. Order Form

☒ PTO-1449

☒ Cited Pending Applications (7)

☐ Election Response

Due Date: 04/17/02



Docket No. 198004US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kohji SAKAI et al.

SERIAL NO: 09/678,611

GAU: 2712

FILED: 10/04/00

EXAMINER:

FOR: SCANNING OPTICAL SYSTEM, OPTICAL SCANNING DEVICE AND IMAGE FORMING APPARATUS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.



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Respectfully submitted,

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Registration No. 25,599

JAN 31 2005

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SHEET 1 OF 1Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEPRIORITY DOCKET NO.
198004US2SERIAL NO.
09/678,611

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Kohji SAKAI et al.FILING DATE
10/04/00GROUP
2712

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,459,601	10/17/95	SUZUKI et al.			
	AB	5,355,244	10/11/94	SUZUKI et al.			
	AC	5,684,618	11/04/97	ATSUUMI			
	AD	5,233,454	08/03/93	SAKUMA et al.			
	AE	5,408,095	04/18/95	ATSUUMI et al.			
	AF	5,475,522	12/12/95	ITABASHI et al.			
	AG	5,504,613	04/02/96	ITABASHI et al.			
	AH	5,426,298	06/20/95	SAKUMA et al.			
	AI	6,078,419	06/20/00	ATSUUMI			
	AJ	6,198,563	03/06/01	ATSUUMI			
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

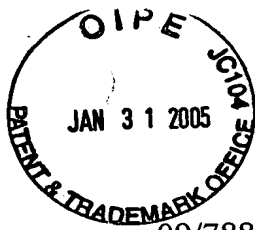
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	09/533,063	03/22/00	UNKNOWN
PER CLIENT	08/306,000	09/16/94	UNKNOWN
PER CLIENT	5,459,601	10/17/95	SUZUKI et al.
PER CLIENT	5,355,244	10/11/94	SUZUKI et al.
PER CLIENT	5,684,618	11/04/97	ATSUUMI
0557-2739-2	5,233,454	08/03/93	SAKUMA et al.
0557-3053-3	5,408,095	04/18/95	ATSUUMI et al.
0557-3058-2FWC	5,475,522	12/12/95	ITABASHI et al.
0557-3102-2	5,504,613	04/02/96	ITABASHI et al.
0557-3164-3 CIP	5,426,298	06/20/95	SAKUMA et al.
0557-4113-2	6,078,419	06/20/00	ATSUUMI
0557-4749-2 DIV	6,198,563	03/06/01	ATSUUMI
193458US2	09/612,634	07/07/00	ALLOWED
196681US2	09/653,330	08/31/00	PENDING
198004US2*	09/678,611	10/04/00	PENDING
200097US2	09/716,949	11/22/00	PENDING



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203045US2

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218135US2

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*Present application; listed for information.

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